Notes and Correspondence

Asymptotic gravity wave drag expressions for non-hydrostatic rotating flow over a ridge

M. A. C. Teixeira,* P. M. A. Miranda and R. M. Cardoso

University of Lisbon, CGUL, IDL, Lisbon, Portugal

ABSTRACT: Asymptotic expressions are derived for the mountain wave drag in flow with constant wind and static stability over a ridge when both rotation and non-hydrostatic effects are important. These expressions, which are much more manageable than the corresponding exact drag expressions (when these do exist) are found to provide accurate approximations to the drag, even when non-hydrostatic and rotation effects are strong, despite having been developed for cases where these effects are weak. The derived expressions are compared with approximations to the drag found previously, and their asymptotic behaviour in various limits is studied. Copyright © 2008 Royal Meteorological Society

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1. Introduction

Among the most prominent physical processes affecting gravity wave drag in stratified flow over orography are non-hydrostatic effects and the rotation of the Earth. Both of these effects, the former at small scales and the latter at large scales, reduce the drag to negligible values. They define it as an essentially mesoscale force, most important in approximately hydrostatic and non-rotating conditions. Analytical drag expressions exist in these simplified conditions for idealized mountain shapes, versions of which are used in current drag parametrization schemes (e.g. Lott and Miller, 1997). However, no such expressions in terms of elementary functions exist when either rotation or non-hydrostatic effects are taken into account, a notable exception being the case with rotation treated by Miranda and James (1992) for flow over a bell-shaped circular mountain. This is because the integrals describing the drag are generally not analytical, even for the constant flows and idealized orographies considered. When only rotation is taken into account, the drag for a two-dimensional (2D) bell-shaped ridge is expressed in terms of Bessel functions, as noted by Smith (1979) (for more details see e.g. Gill, 1982 or Ölafsson and Bougeault, 1997). It can also be shown that when only non-hydrostatic effects are taken into account, the drag can be expressed in terms of hypergeometric functions.

In this note, analytical asymptotic expressions for the drag produced by a constant wind flowing over a 2D ridge, taking into account both non-hydrostatic effects and rotation, are derived. These expressions, which clarify the functional dependence of the drag on the dimensionless quantities controlling these two effects, are shown to be accurate to a good degree of approximation for most typical values of the flow parameters.

2. Mountain wave drag

The starting point for the drag calculation in an inviscid and linearized framework, considering both non-hydrostatic and rotating effects, may be e.g. Gill (1982, equation (8.8.22)). This equation, which provides the drag per unit length in a flow perpendicular to a 2D ridge, is equivalent to

\[
D = 4\pi \rho_0 U N \int_0^\pi \frac{1}{2} N^2 \left( 1 - \frac{U^2 k^2}{N^2} \right)^{1/2} \left( 1 - \frac{f^2}{U^2 k^2} \right)^{1/2} dk. \quad (1)
\]

Here \( \rho_0 \) is a reference density (assumed to be constant), \( U \) is the wind velocity of the incoming flow, \( N \) is its Brunt-Väisälä frequency, \( f \) is the Coriolis parameter, \( k \) is the horizontal wavenumber of the internal waves and \( \hat{h} \) is the Fourier transform of the surface elevation. Relative to Gill’s equation, Equation (1) contains an additional factor of \( \frac{1}{4} \) which results from the different convention in the Fourier transform definition. If the dimensionless parameters \( Ro = U/(fa) \) and \( \hat{a} = Na/U \) and the dimensionless variable \( k' = ak \) (where \( a \) is the

*Correspondence to: M. A. C. Teixeira, Centro de Geofísica da Universidade de Lisboa, Edifício C8, Campo Grande, 1749-016 Lisbon, Portugal. E-mail: mateixeira@fc.ul.pt

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half-width of the ridge) are introduced, Equation (1) may be expressed as
\[ D = 4\pi \rho_0 N U h_0^2 \]
\[ \times \int_{Ro^{-1}}^{a} k' \hat{h}'^2 \left( 1 - \frac{k'^2}{a^2} \right)^{1/2} \left( 1 - \frac{Ro^{-2}}{k'^2} \right)^{1/2} \, dk', \] (2)

where \( \hat{h}' = \hat{h}/(h_{0a}) \) and \( h_0 \) is the ridge height.

As it stands, the integral in Equation (2) must in general be calculated numerically. This makes it more difficult to understand the dependence of the drag on the two dimensionless parameters \( Ro \) and \( \hat{a} \). A simple approximation, which in principle would only be valid in weakly non-hydrostatic and weakly-rotating conditions (i.e. \( \hat{a} \gg 1 \) and \( Ro \gg 1 \)), consists of expanding the two square roots in the integrand of Equation (2) in Taylor series around \( \hat{a}^{-1} = 0 \) and \( Ro^{-1} = 0 \). If this expansion is performed in both cases up to first order only, and no further approximations are made, then
\[ D \approx 4\pi \rho_0 N U h_0^2 \]
\[ \times \int_{Ro^{-1}}^{a} k' \hat{h}'^2 \left( 1 - \frac{1}{2} \frac{k'^2}{a^2} \right) \left( 1 - \frac{1}{2} \frac{Ro^{-2}}{k'^2} \right) \, dk'. \] (3)

Although Equation 3 only appears to be accurate for particularly small \( Ro^{-1} \) and \( \hat{a}^{-1} \), we will show that it is actually fairly accurate for any range of these parameters. This is probably due to the fact that the main contributions to the drag come from parts of the integrand where \( k' \gg Ro^{-1} \) and \( k' \ll \hat{a} \). Additionally, the decay of the drag to zero for large \( Ro^{-1} \) or small \( \hat{a} \) results primarily from the effect of these two parameters on the integration limits, and less on their presence in the integrand. Of course, these two arguments would not hold if one of the expressions inside the square root was raised instead to a negative exponent, or for quantities that are determined by evanescent parts of the wave spectrum. In any of those situations, the present approximation must be quite poor.

The integral in Equation (3) may be solved analytically for simple orography shapes. It should be noted that since both non-hydrostatic effects and rotation are scale-dependent, the behaviour of the drag strictly depends on the detailed shape of the orography. However, as will be seen, this dependence is not unduly strong. A bell-shaped and a Gaussian ridge will be considered, i.e.
\[ h = \frac{h_0}{1 + (x/a)^2} \quad \text{and} \quad h = h_0 e^{-x^2/a^2}, \] (4)
respectively, which have the dimensionless Fourier transforms:
\[ \hat{h}' = \frac{1}{2} e^{-|k'|} \quad \text{and} \quad \hat{h}' = \frac{1}{2\pi} e^{-\frac{1}{2}k'^2}. \] (5)

These expressions may be used in the integrand of Equation (3). Although a Gaussian ridge may also be seen as ‘bell-shaped’, we will stick here to the standard terminology of mountain waves, where ‘bell-shaped’ usually means ‘Witch of Agnesi’.

### 3. Results

If flow over a bell-shaped ridge is considered, the corresponding drag is given by Equation (3) using the first expression of Equation (5). The drag normalized by its value for a constant wind and static stability in hydrostatic and non-rotating conditions, \( D_0 = (\pi/4)\rho_0 N U h_0^2 \), is
\[ D' = \left( 1 + \frac{1}{4} \hat{a}^{-2} \right) \left[ F (Ro^{-1}) - F (\hat{a}) \right] \]
\[ - \hat{a}^{-2} [G (Ro^{-1}) - G (\hat{a})] \]
\[ - Ro^{-2} [H (Ro^{-1}) - H (\hat{a})], \] (6)

where the functions \( F, G \) and \( H \) are defined as
\[ F(x) = (1 + 2x) e^{-2x}, \]
\[ G(x) = \left( x^3 + \frac{3}{2} x^2 + \frac{3}{2} x + \frac{3}{4} \right) e^{-2x}, \]
\[ H(x) = 2E_1(2x), \] (7)
and \( E_1 \) is the exponential integral function of order one (see e.g. Gradshteyn and Ryzhik, 2000). For a Gaussian ridge, on the other hand, the second expression of Equation (5) must be used in Equation (3). If the drag is normalized by the corresponding value for constant wind and stability in hydrostatic and non-rotating conditions, \( D_0 = \rho_0 N U h_0^2 \), it is still given by Equation (6) but with
\[ F(x) = e^{- \frac{1}{2} x^2}, \]
\[ G(x) = \frac{1}{2} \left( x^2 + 2 \right) e^{- \frac{1}{2} x^2}, \]
\[ H(x) = \frac{1}{4} E_1 \left( \frac{1}{2} x^2 \right). \] (8)

These expressions are the main result of this note. They will be tested for a variety of conditions, including the hydrostatic and rotating limit and the non-hydrostatic and non-rotating limit. We begin, however, by noting the limitations of these latter approximations.

Since \( N \) is appreciably larger than \( f \), there are situations in which it is appropriate to view the flow as either non-hydrostatic and non-rotating or hydrostatic and rotating. Figure 1 shows the drag in flow over a bell-shaped ridge (solid lines) calculated using Equation (2) for different values of \( U, f \) and \( N \), so that the range of mountain widths where the flow is approximately hydrostatic and non-rotating (and the drag is maximized) varies. Also shown are the results of asymptotic approximations to the drag in the limits of hydrostatic and non-rotating flow.
(as triangles and squares, respectively). These approximations are given by

\[
D = 4\pi \rho_0 \frac{N U h_0^2}{k} \int_{-\infty}^{+\infty} k' |\hat{h}'|^2 \left(1 - \frac{R_o^{-2}}{k'^2}\right)^{1/2} dk'
\]  

(Smith, 1979, equation (15)), and

\[
D = 4\pi \rho_0 \frac{N U h_0^2}{\hat{a}} \int_0^\infty k' |\hat{h}'|^2 \left(1 - \frac{k'^2}{\hat{a}^2}\right)^{1/2} dk'
\]

(Gill, 1982, equation (8.8.12)), respectively.

As can be seen in Figure 1, the symbols only follow the solid line in the regions of relevance for the upper curve where the flow has a larger hydrostatic and non-rotating range. For the middle curve (corresponding to the conditions considered by Blumen, 1965, figure 1, and Miranda and James, 1992, figure A.2), there is a region where the exact drag is slightly overestimated. There is no region in the lower curve where the hydrostatic and non-rotating assumptions hold simultaneously, so the approximate results depart considerably from the exact results. Since the values of \( N, f \) and \( U \) employed in Figure 1 are perfectly within the geophysical range of variation, this result emphasizes the need to consider both non-hydrostatic and rotation effects in our approximate drag calculations.

3.1. Variation of the drag with \( R_o^{-1} \) and \( \hat{a} \)

We compare the variation of the normalized drag given by Equation (2) for flow over a bell-shaped ridge (Figure 2(a)) with the approximate drag given by Equations (6) and (7) (Figure 2(b)). The drag is plotted as a function of \( R_o^{-1} \) and \( \hat{a} \) for a wide range of these parameters, as in Miranda and James (1992, figure A.1). The dashed lines represent the corresponding values of \( N/f \).

The absolute error, defined as the approximate minus the exact drag, is displayed in Figure 2(c). The relative error ((approximate value – exact value)/exact value) is displayed in Figure 2(d).

Figure 2(a) is virtually indistinguishable from Figure 2(b), but Figure 2(c) shows that a maximum of the
absolute error slightly larger than 0.045 occurs for \( Ro^{-1} \) a little below 1 and \( \hat{a} \) a little above 1. This is not very serious, since the relevant drag values are of the order 1. Figure 2(d) shows that in most regions of the parameter space where the drag takes appreciable values, the relative error is lower than 10%, which is a good precision. In the region where the absolute error has its maximum, the relative error reaches 30% for a normalized drag of \( \sim 0.2 \). The fact that the maximum error occurs in this region is not surprising, since the flow is neither approximately hydrostatic or non-rotating.

A clearer (and more geophysical) perspective of the drag behaviour is obtained by fixing \( N, f \) and \( U \) and plotting the drag variation with \( a \) (as in Figure 1). This corresponds to following one of the dashed lines in Figure 2. Results are presented in Figure 3 for a bell-shaped and a Gaussian ridge. In the first case, the approximate drag is given by Equations (6) and (7) and in the second it is given by Equations (6) and (8). For the conditions considered, which are the same as in Figure 1, the drag for a Gaussian ridge has a larger hydrostatic and non-rotating range than for a bell-shaped ridge. It is also more sensitive to hydrostatic effects and less sensitive to rotation, which causes the approximately hydrostatic and non-rotating region to move to slightly higher values of \( a \) than for a bell-shaped ridge. This behaviour is undoubtedly related to differences in the spectra between bell-shaped and Gaussian orography, but a detailed interpretation is beyond the scope of this study. It can be seen that in both cases the approximate drag expressions are reasonably accurate, having errors of at most a few percent. The worst results occur in situations where the flow is never hydrostatic or non-rotating.

3.2. Approximately hydrostatic or non-rotating flow

As seen in Figure 1, when there is a well-defined hydrostatic and non-rotating drag range, the flow may be assumed to be either non-hydrostatic and non-rotating or hydrostatic and rotating. Then Equation (6) simplifies further.

3.2.1. Bell-shaped ridge

When the flow is approximately hydrostatic, i.e. when \( \hat{a}^{-1} \ll 1 \), Equations (6) and (7) reduce to

\[
D' = \left(1 + 2Ro^{-1}\right)e^{-2Ro^{-1}} - 2Ro^{-2}E_1\left(2Ro^{-1}\right). \tag{11}
\]

It is interesting that this expression is equal to that derived by Miranda and James (1992) for a circular bell-shaped mountain, minus the term involving the exponential integral. Equation (11) and the exact result Equation (9) are plotted in Figure 4(a) as a function of \( Ro^{-1} \). Also shown is the asymptotic expression derived by Grisogono et al. (1993), which can be written:

\[
D' = \left(1 + Ro^{-1}\right)e^{-2Ro^{-1}}. \tag{12}
\]

The dotted and dashed lines at the bottom of Figure 4(a) are the relative errors corresponding to each approximate drag expression. It can be seen that Equation (12) departs considerably from Equation (9) at small values of \( Ro^{-1} \), underestimating it, essentially because it does not tend asymptotically to the exact drag as \( Ro^{-1} \to 0 \). Equation (11), however, has the correct asymptotic behaviour, minimizing its relative error at low \( Ro^{-1} \). It can be shown that for low \( Ro^{-1} \), Equation (11) reduces to

\[
D' = 1 + 2Ro^{-2}\log\left(Ro^{-1}\right), \tag{13}
\]

while Equation (12) clearly has a non-zero derivative at \( Ro^{-1} = 0 \). At higher values of \( Ro^{-1} \), however, Equation (12) better approximates the exact drag as the relative error of about 23% at \( Ro^{-1} = 3 \) (compared with 35% of Equation (11)) clearly indicates. It can be shown that both Equations (11) and (12) tend asymptotically to

\[
D' = Ro^{-1}e^{-2Ro^{-1}} \tag{14}
\]

as \( Ro^{-1} \to \infty \). However, as would be expected for an expression developed for small \( Ro^{-1} \), this limit is not strictly correct. In fact, it can be shown that the

![Figure 3. Normalized drag for the same conditions as in Figure 1, as a function of the ridge half-width. Solid lines: exact drag; dashed lines: approximate drag. (a) Bell-shaped ridge and (b) Gaussian ridge.](image-url)
as hinted by Smith (1979, equation (23)). This is not very relevant, however, as when the relative error becomes large the drag is very small.

The other important situation to be considered is the limit of no rotation. In this case, Equations (6) and (7) reduce to

\[ D' = 1 - \hat{a}^{-2} + \left( \frac{1}{2} - \hat{a} + \frac{3}{2} \hat{a}^{-1} + \frac{3}{4} \hat{a}^{-2} \right) e^{-2\hat{a}}. \]  

This expression is plotted, together with the exact drag in the non-rotating limit (Equation (10)) and the corresponding relative error, in Figure 4(b). As can be seen, Equation (16) has correct asymptotic behaviour as \( \hat{a} \to 0 \), namely

\[ D' = 1 - \frac{3}{4} \hat{a}^{-2}. \]  

However, as expected, the error increases for large \( \hat{a}^{-1} \). It can be shown that Equation (16) tends asymptotically to

\[ D' = \frac{3}{2} \hat{a}^{-2} \]  

as \( \hat{a} \to 0 \). Curiously, since Wurtele et al. (1996, after equation (7.2)) note that Equation (10) tends to

\[ D' = \frac{4}{3} \hat{a}^{-2} \]  

in the same limit, the relative error of Equation (16) is bounded above by \( \frac{1}{8} \), unlike the error of Equation (11) which grows indefinitely. This is of course fortuitous.

3.2.2. Gaussian ridge

The case of a Gaussian ridge will only be treated very briefly. In the hydrostatic limit, Equations (6) and (8) yield

\[ D' = e^{-\frac{1}{2} R_o^{-1}} - \frac{1}{4} R_o^{-2} E_1 \left( \frac{1}{2} R_o^{-2} \right), \]

which further reduces asymptotically to

\[ D' = 1 + \frac{1}{2} R_o^{-2} \log (R_o^{-1}) \]

when \( R_o^{-1} \to 0 \) and to

\[ D' = \frac{1}{2} e^{-\frac{1}{2} R_o^{-2}} \]

when \( R_o^{-1} \to +\infty \).

By comparison with Equation (13), Equation (21) (which is asymptotically correct) reflects the fact that rotation effects are considerably less intense for a Gaussian ridge than for a bell-shaped ridge (see Figure 3).

In the limit of no rotation, on the other hand, Equations (6) and (8) take the form

\[ D' = 1 - \hat{a}^{-2} + \left( \hat{a}^{-2} - \frac{1}{2} \right) e^{-\frac{1}{2} \hat{a}^{-2}}. \]

When \( \hat{a} \to +\infty \), this is approximately

\[ D' = 1 - \hat{a}^{-2}, \]

which is consistent with the asymptotic result of Grisogono (1994, equation (3.7)) for weakly non-hydrostatic flow over a Gaussian ridge. Finally, when \( \hat{a} \to 0 \), Equation (23) becomes

\[ D' = \frac{3}{8} \hat{a}^{-2}. \]

By comparison with Equations (17) and (18), these final two equations reflect that non-hydrostatic effects are stronger for Gaussian ridges than for bell-shaped ridges (Figure 3).
4. Concluding remarks

Asymptotic expressions for the gravity wave drag of a flow with constant parameters over a 2D ridge in rotating and non-hydrostatic conditions have been developed. These expressions allow an easier understanding of the dependence of the linear drag on the dimensionless quantities controlling its behaviour: $Ro$ and $\hat{a}$. The relative error of these expressions with respect to the corresponding exact definitions is less than 10% in many geophysically relevant conditions, and is particularly small when the drag is highest (and therefore most dynamically relevant).

The present study can be seen as a contribution to the improvement of existing non-rotating, hydrostatic and constant-wind drag expressions, in line with the work of Teixeira and Miranda (2004, 2006). However, while previous research addressed the effects of shear and found that normalized drag was independent of the detailed shape of the orography, that is not the case here, since both rotating and non-hydrostatic effects are scale dependent. It should be possible to extend the drag calculations developed in this study to 3D flow in order to provide quick corrections to the hydrostatic, non-rotating drag used in parametrization schemes.

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